# Lecture 3: Turing, computability, halting problem

David Lester

2017

### Outline

- Introduction
- 2 Computability
- 3 The Church-Turing Thesis
- **4** Computable functions for  $\alpha \to \beta$
- 5 The Halting Problem: An informal Argument



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 2017
 2 / 31

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- Be able to show that a function is computable;
- Understand the use of diagonalization;
- Understand how to use the Church-Turing Thesis;
- Be able to show that a predicate is decidable; and
- Give an informal argument that it is not possible to test whether a program halts algorithmically.

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 4 / 31

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 4 / 31

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- We will write these programs in our simple programming language while.
- By convention we will take the argument to a unary function by setting the variable x in the initial state s.
- Likewise, we will read out the answer from the variable x in the final state s', if the program terminates.

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 5 / 31

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• then

$$s'(x) = f(n).$$

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- When we need to make distinctions we may call this while-computability.
- There are also Turing-computable,  $\lambda$ -computable, etc. functions.
- As we will see later, these all define the same set of functions to be computable.

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- We will prove this in some detail.

• There must be infinitely many functions, because there are infinitely many constant functions:

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- Suppose that there are only countably infinitely many functions of type  $\mathbb{N} \to \mathbb{N}$ .
- A countably infinite set A has a bijection  $\phi$  with  $\mathbb{N}$ .
- Thus we can lay out the set of functions in a sequence

$$f_0, f_1, \ldots, f_k, \ldots$$



2017

8 / 31

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• We now *construct* a function which is *not* already in our list.

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• Because f is different from  $f_n$  for argument n, we know that

$$f \neq f_n$$



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#### To recap, we have shown:

- There are infinitely many functions  $\mathbb{N} \to \mathbb{N}$ ; and
- If we assume that we *can* enumerate all of the functions in  $\mathbb{N} \to \mathbb{N}$ , it turns out that we *cannot*, because there is a missing function (f).
- Therefore we have shown that there are *uncountably* many functions  $\mathbb{N} \to \mathbb{N}.$

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### Countable *vs* Uncountable

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- But there are uncountably many functions of type  $\mathbb{N} \to \mathbb{N}$ .

### Countable vs Uncountable

- We have shown that there are countably many *computable* functions of type  $\mathbb{N} \to \mathbb{N}$ .
- But there are uncountably many functions of type  $\mathbb{N} \to \mathbb{N}$ .
- This means that there *must* be functions which are *not* computable.

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## Non-computability

## Corollary

There are non-computable functions of type  $\mathbb{N} \to \mathbb{N}$ .

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# The Church-Turing Thesis

• During the 1930s many different ways were found to define what we would now call the concept of computation.

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# The Church-Turing Thesis

- During the 1930s many different ways were found to define what we would now call the concept of computation.
- The driver for this inventiveness was a desire to fill in some missing details in Gödel's Incompleteness Theorem.

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# **Definitions of Computation**

Amongst the better known are:

Schönfinkel's Combinators 1924

Church's  $\lambda$ -Calculus 1936

Gödel-Kleene  $\mu$ -recursive functions 1936

Turing's Turing Machines 1936

Post Production System 1943

Markov Computable Functions 1954

Shepherdson and Sturgiss' URM 1963

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# The Church-Turing Thesis

## Thesis (Church-Turing)

Any sensible definition of computation will define the same functions to be computable as any other definition.

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# The Church-Turing Thesis

**Important** We can paraphrase the Church-Turing Hypothesis as: "A function is computable whenever we can write a program to implement it."

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# Computable functions for $\alpha \to \beta$

 We now generalize the definition of computability to functions of other types.

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# Computable functions for $\alpha \to \beta$

- We now generalize the definition of computability to functions of other types.
- ullet To do this, we use coding techniques to code other types into  $\mathbb{N}$ .
- The most important coding technique is  $\phi_X : (\mathbb{N} \times \mathbb{N}) \to \mathbb{N}$ , defined as:

$$\phi_X(n,m) = 2^n(2m+1) - 1$$

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# Computable functions of type $(\mathbb{N} \times \mathbb{N}) \to \mathbb{N}$

### Definition

We say that a function  $f:(\mathbb{N},\mathbb{N})\to\mathbb{N}$  is *computable*, if, and only if, the function  $g:\mathbb{N}\to\mathbb{N}$  is computable using the previous Definition, where

$$f(x,y) = g(\phi_X(x,y))$$

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# Computable functions of type $\mathbb{N} \to (\mathbb{N} \times \mathbb{N})$

#### Definition

We say that a function  $f: \mathbb{N} \to (\mathbb{N}, \mathbb{N})$  is *computable*, if, and only if, the function  $g: \mathbb{N} \to \mathbb{N}$  is computable using the previous Definition, where

$$f(x) = \phi_X^{-1}(g(x))$$

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# Generalized Computability on $\mathbb{N}^m \to \mathbb{N}^n$

#### **Definition**

A function  $f: \mathbb{N}^m \to \mathbb{N}^n$ , with  $n, m \geq 1$ , is *computable* if, and only if, there is a function  $g: \mathbb{N} \to \mathbb{N}$  which is computable in the sense of the Definition for  $\mathbb{N} \to \mathbb{N}$ , such that

$$g(\phi_{x}(x_{1}, \phi_{x}(x_{2}, \dots \phi_{x}(x_{n-1}, x_{n})))) = (\phi_{x}(y_{1}, \phi_{x}(y_{2}, \dots \phi_{x}(y_{m-1}, y_{m}) \dots))$$

where,

$$(y_1, y_2, \ldots y_{m-1}, y_m) = f(x_1, x_2, \ldots, x_{n-1}, x_n))$$

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### Decidable Predicates

#### Definition

The predicate P is decidable if, and only if, there is a computable function  $f: \mathbb{N} \to \mathbb{N}$  such that:

$$f(x) = \begin{cases} 1 & \text{if } P(x) \text{ holds} \\ 0 & \text{if } P(x) \text{ doesn't hold} \end{cases}$$

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 2017
 21 / 31

### Notes

• A function that is not decidable is undecidable.



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### Notes

- A function that is not decidable is undecidable.
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- A function that is not decidable is undecidable.
- The associated function f is the characteristic function for the predicate P.
- The while program implementing f is called the *decision procedure* for P.

# Logical Connectives for Decidable Predicates

#### Lemma

If P and Q are decidable predicates, then all of the following are also decidable:

- ¬P;
- $P \wedge Q$ ;
- $P \lor Q$ ; and
- $P \Rightarrow Q$ .

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• Notice that decidable predicates are total, *i.e.* every input value gives a value of true or false.

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## Definition

Partially Decidable Predicates

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 2017
 25 / 31

#### **Definition**

Partially Decidable Predicates

• The partial function P is partially decidable if, and only if, there exists a computable partial function  $f: \mathbb{N} \hookrightarrow \mathbb{N}$  with

$$f(x) = \begin{cases} 1 & \text{if } P(x) \text{ holds} \\ \text{undefined} & \text{if } P(x) \text{ doesn't hold} \end{cases}$$

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 2017
 25 / 31

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### Partially Decidable Predicates

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- the associated program in while is a partial decision procedure for P.

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# The Halting Problem: An informal Argument

• We will outline an informal argument that we cannot write a program to detect when another program will terminate with a given input.

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- We will outline an informal argument that we cannot write a program to detect when another program will terminate with a given input.
- To generate a contradiction, we will assume that the 'halt-tester' program is Shalt, and that this takes the program p and the program's input n as inputs (as a pair in variable x) and outputs either 0 or 1 in variable x, representing false and true respectively.

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# The Halting Problem: An informal Argument

- We will outline an informal argument that we cannot write a program to detect when another program will terminate with a given input.
- To generate a contradiction, we will assume that the 'halt-tester' program is  $S_{\text{halt}}$ , and that this takes the program p and the program's input n as inputs (as a pair in variable x) and outputs either 0 or 1 in variable x, representing false and true respectively.
- In other words we have assumed that the predicate halts(p, n) is decidable, and has decision procedure  $S_{halt}$ .

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# Program self

• The next program to define is  $S_{self}$ , this takes a program p as input and returns true (x=1) if the program halts when its input is itself, and false (x=0) otherwise.

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# Program self

- The next program to define is  $S_{self}$ , this takes a program p as input and returns true (x=1) if the program halts when its input is itself, and false (x=0) otherwise.
- We can define the decision procedure  $S_{self}$  as:

```
\begin{split} z := x; \ y := 1; \ \text{while} \ 1 \le z \ \text{do} \ (y := y \times 2; \ z := z - 1); \\ x := (2 \times x + 1) \times y - 1; \\ S_{\mbox{halt}} \end{split}
```

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## Program weird

We now come to the clever bit.

• We define the following weird partial function:

$$weird(p) = \begin{cases} undefined & if self(p) \\ true & otherwise \end{cases}$$
 (1)

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 2017
 28 / 31

## Program weird

We now come to the clever bit.

• We define the following weird partial function:

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• The partial function weird is computable, because we can write its program  $S_{\text{weird}}$  as:

$$S_{\mathsf{self}}$$
; if  $x=1$  then (while true do skip) else  $x:=1$ 

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We now come to a paradox, *i.e.* something that is both logically true and logically false. What happens when we supply the partial function wierd with itself as input?

• Using Equation 1, we see that

$$weird(weird) = \begin{cases} undefined & if self(weird) \\ true & if \neg self(weird) \end{cases}$$
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 2017
 29 / 31

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# Case Analysis

There are now two cases for halt(weird, weird):

true In this case we take the first branch of Equation 2, which goes into an infinite loop, *i.e.* it fails to terminate. But this is the effect of running the program weird using its own representation as input, and the halt-tester tells us this terminates. It is therefore a contradiction.

Thus no matter whether the result is true or false, we have generated a contradiction. We have therefore shown that it is impossible to write a halt-tester program in our while language.

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# Case Analysis

There are now two cases for halt(weird, weird):

- true In this case we take the first branch of Equation 2, which goes into an infinite loop, *i.e.* it fails to terminate. But this is the effect of running the program weird using its own representation as input, and the halt-tester tells us this terminates. It is therefore a contradiction.
- false In this case we take the second branch of Equation 2, which returns true, and thus running the program weird with itself as its input terminates. However, this contradicts the result given by the halt-tester, which is false. It is therefore also a contradiction.

Thus no matter whether the result is true or false, we have generated a contradiction. We have therefore shown that it is impossible to write a halt-tester program in our while language.

### Conclusion

This does not quite show that it is impossible to write a halt-tester, because maybe the problem lies in the expressiveness of the programming language, and perhaps using a different programming language with extra features will permit us to write the halt-tester.

The notes will show that this is not the case, by making the proof more formal.

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